



Core Unit 2

Regulation of Retirement Provision

Assignment 1

(Part 1 – The Taxation and Regulation of Retirement Provision)

Recommended Time: 1 Hour

1. Prepare short notes describing the record keeping duties of the Scheme Administrator of a registered pension scheme. **10 marks**
2. Describe the role of the Pensions Regulator. **10 marks**
3. Outline the role of the Financial Services Compensation Scheme. **5 marks**
4. Outline the role of the Financial Conduct Authority. **5 marks**
5. Write short notes on “Benefit Crystallisation Events” (BCEs) and list five BCEs. **10 marks**
6. Explain the principal features of Primary Protection. **10 marks**